

# An Antichain Algorithm for LTL Realizability<sup>\*</sup>

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**Abstract.** In this paper, we study the structure of underlying automata based constructions for solving the LTL realizability and synthesis problem. We show how to reduce the LTL realizability problem to a game with an observer that checks that the game visits a bounded number of times accepting states of a universal co-Büchi word automaton. We show that such an observer can be made deterministic and that this deterministic observer has a nice structure which can be exploited by an incremental algorithm that manipulates antichains of game positions. We have implemented this new algorithm and our first results are very encouraging.

## 1 Introduction

Automata theory has revealed very elegant for solving verification and synthesis problems. A large body of results in computer aided verification can be phrased and solved in this framework. Tools that use those results have been successfully used in industrial context, see [16] for an example. Nevertheless, there is still plenty of research to do and new theory to develop in order to obtain more efficient algorithms able to handle larger or broader classes of practical examples. Recently, we and other authors have shown in [4–6, 14, 21] that several automata-based constructions enjoy structural properties that can be exploited to improve algorithms on automata. For example, in [6] we show how to solve more efficiently the language inclusion problem for nondeterministic Büchi automata by exploiting a partial-order that exists on the state spaces of subset constructions used to solve this problem. Other structural properties have been additionally exploited in [7]. In this paper, we pursue this line of research and revisit the automata-based approach to LTL realizability and synthesis. Although LTL realizability is 2EXPTIME-COMPLETE, we show that there are also automata structures equipped with adequate partial-orders that can be exploited to obtain a more practical decision procedure for it.

The realizability problem for an LTL formula  $\phi$  is best seen as a game between two players [13]. Each of the players is controlling a subset of the set  $P$  of propositions on which the LTL formula  $\phi$  is constructed. The set of propositions  $P$  is partitioned into  $I$  the set of *input signals* that are controlled by "Player input" (the environment, also called Player  $I$ ), and  $O$  the set of *output signals* that are controlled by "Player

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output” (the controller, also called Player  $O$ ). The realizability game is played in turns. Player  $O$  is the protagonist, she wants to satisfy the formula  $\phi$ , while Player  $I$  is the antagonist as he wants to falsify the formula  $\phi$ . Player  $O$  starts by giving a subset  $o_0$  of propositions<sup>1</sup>, Player  $I$  responds by giving a subset of propositions  $i_0$ , then Player  $O$  gives  $o_1$  and Player  $I$  responds by  $i_1$ , and so on. This game lasts forever and the outcome of the game is the infinite word  $w = (i_0 \cup o_0)(i_1 \cup o_1)(i_2 \cup o_2) \cdots \in (2^P)^\omega$ . We say that Player  $O$  wins if the resulting infinite word  $w$  is a model of  $\phi$ . This problem is central when dealing with specifications for reactive systems. In that context, the signals of the environment being uncontrollable, unrealizable specifications are useless as they can not be implemented. The LTL realizability problem has been studied starting from the end of the eighties with the seminal works by Pnueli and Rosner [13], and Abadi, Lamport and Wolper [1]. The 2EXPTIME lower bound was established in [15].<sup>2</sup>

The classical automata-based solution to LTL synthesis can be summarized as follows. Given an LTL formula  $\phi$ , construct a nondeterministic Büchi automaton  $A_\phi$  that accepts all models of  $\phi$ , transform  $A_\phi$  into a deterministic Rabin automaton  $B$  using Safra’s determinization procedure [18], and use  $B$  as an observer in a turn-based two-player game. Unfortunately, this theoretically elegant procedure has turned out to be very difficult to implement. Indeed, Safra’s determinization procedure generates very complex state spaces: states are colored trees of subsets of states of the original automaton. No nice symbolic data-structure is known to handle such state spaces. Moreover, the game to solve as the last step (on a potentially doubly-exponential state-space) is a Rabin game, and this problem is known to be NP complete<sup>3</sup>.

This situation has triggered further research for alternative procedures. Most notably, Kupferman and Vardi in [10] have recently proposed procedures that avoid the determinization step and so the Safra’s construction<sup>4</sup>. In particular, they reduce the LTL realizability problem to the emptiness of a Universal Co-Büchi Tree automaton (UCT). They show how to test emptiness of a UCT by translation to an alternating weak Büchi tree automaton, again translated into a non-deterministic Büchi tree automaton for which testing emptiness is easy. All these steps have been implemented and optimized in several ways by Jobstmann and Bloem in a tool called Lily [9].

In this paper, we propose a different and more direct Safraless decision procedure for the LTL realizability and synthesis problem and we identify structural properties that allow us to define an antichain algorithm in the line of our previous works. We highlight differences with [10, 9] in Section 5. Our procedure uses Universal Co-Büchi Word automaton, UCW. Those automata have the following simple nice property. If a Moore machine  $M$  with  $m$  states defines a language included into the language defined by a UCW with  $n$  states, then obviously every run on the words generated by  $M$  contains at most  $2mn$  accepting states. As a consequence a Moore machine that enforces a language defined by a UCW also enforces a stronger requirement defined by the same automaton where the acceptance condition is strengthened to a so called  $2mn$ -bounded one: “a run is accepting if it passes at most  $2mn$  times by an accepting state”. Using the

<sup>1</sup> Technically, we could have started with Player  $I$ , for modeling reason it is conservative to start with Player  $O$ .

<sup>2</sup> Older works also consider the realizability problem but for more expressive and computationally intractable formalisms, see [20].

<sup>3</sup> Instead of Rabin automata, Parity automata can also be used [12]. Nevertheless, there are no known polynomial time algorithm to solve parity games.

<sup>4</sup> As a consequence, they call their new procedures *Safraless* procedures. Nevertheless they use the result by Safra in their proof of correctness.

result by Safra, we know that the size of a Moore machine that realizes a language defined by a UCW can be bounded. This gives a reduction from the general problem to the problem of the realizability of a  $k$ -bounded UCW specification. Contrarily to general UCW specifications,  $k$ -bounded UCW specifications can easily be made deterministic and, most importantly the underlying deterministic automaton is always equipped with a partial-order on states that can be used to efficiently manipulate its state space using our antichain method. We have implemented this new antichain algorithm in a tool called *Acacia* and our experiments show promising results. Indeed, even without further optimizations, *Acacia* outperforms Lily.

The rest of this paper is organized as follows. In Section 2, we recall definitions. In Section 3, we show how to reduce the LTL realizability problem to the realizability of a  $k$ -bounded UCW specification. In Section 4, we show structural properties of the deterministic structure that we obtain from the  $k$ -bounded UCW specification and study antichains for manipulating sets of states of this deterministic structure. In Section 5, we report on preliminary experiments using our antichain algorithm for synthesis and compare them to the results obtained by using the tool Lily [9]. In Section 6, we draw conclusions and identify future works.

## 2 LTL and Realizability Problem

*Linear Temporal Logic (LTL)* The formulas of LTL are defined over a set of atomic propositions  $P$ . The syntax is given by the grammar:

$$\phi ::= p \mid \phi \vee \phi \mid \neg\phi \mid \mathcal{X}\phi \mid \phi\mathcal{U}\phi \quad p \in P$$

The notations **true**, **false**,  $\phi_1 \wedge \phi_2$ ,  $\diamond\phi$  and  $\square\phi$  are defined as usual. In particular,  $\diamond\phi = \text{true}\mathcal{U}\phi$  and  $\square\phi = \neg\diamond\neg\phi$ . LTL formulas  $\phi$  are interpreted on infinite words  $w = \sigma_0\sigma_1\sigma_2 \dots \in (2^P)^\omega$  via a satisfaction relation  $w \models \phi$  inductively defined as follows: (i)  $w \models p$  if  $p \in \sigma_0$ , (ii)  $w \models \phi_1 \vee \phi_2$  if  $w \models \phi_1$  or  $w \models \phi_2$ , (iii)  $w \models \neg\phi$  if  $w \not\models \phi$ , (iv)  $w \models \mathcal{X}\phi$  if  $\sigma_1\sigma_2 \dots \models \phi$ , and (v)  $w \models \phi_1\mathcal{U}\phi_2$  if there is  $n \geq 0$  such that  $\sigma_n\sigma_{n+1} \dots \models \phi_2$  and for all  $0 \leq i < n$ ,  $\sigma_i\sigma_{i+1} \dots \models \phi_1$ .

*LTL Realizability and Synthesis* As recalled in the introduction, the realizability problem for LTL is best seen as a game between two players. Each of the players is controlling a subset of the set  $P$  of propositions on which the LTL formula is constructed. Accordingly, unless otherwise stated, we partition the set of propositions  $P$  into  $I$  the set of *input signals* that are controlled by "Player input" (the environment, also called Player  $I$ ), and  $O$  the set of *output signals* that are controlled by "Player output" (the controller, also called Player  $O$ ). It is also useful to associate this partition of  $P$  with the three following alphabets:  $\Sigma = 2^P$ ,  $\Sigma_I = 2^I$ , and  $\Sigma_O = 2^O$ . We denote by  $\emptyset$  the empty set. The realizability game is played in turns. Player  $O$  starts by giving a subset  $o_0$  of propositions, Player  $I$  responds by giving a subset of propositions  $i_0$ , then Player  $O$  gives  $o_1$  and Player  $I$  responds by  $i_1$ , and so on. This game lasts forever and the output of the game is the infinite word  $(i_0 \cup o_0)(i_1 \cup o_1)(i_2 \cup o_2) \dots \in \Sigma^\omega$ . The players play according to strategies. A strategy for Player  $O$  is a (total) mapping  $\lambda_O : (\Sigma_O \Sigma_I)^* \rightarrow \Sigma_O$  while a strategy for Player  $I$  is a (total) mapping  $\lambda_I : \Sigma_O (\Sigma_I \Sigma_O)^* \rightarrow \Sigma_I$ . The outcome of the strategies  $\lambda_O$  and  $\lambda_I$  is the word  $\text{outcome}(\lambda_O, \lambda_I) = (o_0 \cup i_0)(o_1 \cup i_1) \dots$  such that for all  $j \geq 0$ ,  $o_j = \lambda_O(o_0 i_0 \dots o_{j-1} i_{j-1})$  and  $i_j = \lambda_I(o_0 i_0 \dots o_{j-1} i_{j-1} o_j)$ . In particular,  $o_0 = \lambda_O(\epsilon)$  and  $i_0 = \lambda_I(o_0)$ .

We can now define the realizability problem. Given an LTL formula  $\phi$  (the specification), the *realizability problem* is to decide whether there exists a strategy  $\lambda_O$  of Player  $O$  such that for all strategies  $\lambda_I$  of Player  $I$ ,  $\text{outcome}(\lambda_O, \lambda_I) \models \phi$ . If such a strategy exists, we say that the specification  $\phi$  is *realizable*. If an LTL specification is realizable, there exists a finite-state strategy that realizes it [13]. The *synthesis problem* is to find a finite-state strategy that realizes the LTL specification.

E.g., let  $I = \{q\}$ ,  $O = \{p\}$  and  $\psi = p\mathcal{U}q$ . The formula  $\psi$  is not realizable. As  $q$  is controlled by the environment, he can decide to leave it always false and the outcome does not satisfy  $\phi$ . However  $\diamond q \rightarrow (p\mathcal{U}q)$  is realizable. The assumption  $\diamond q$  states that  $q$  will hold at some point, and ensures the controller wins if it always asserts  $p$ .

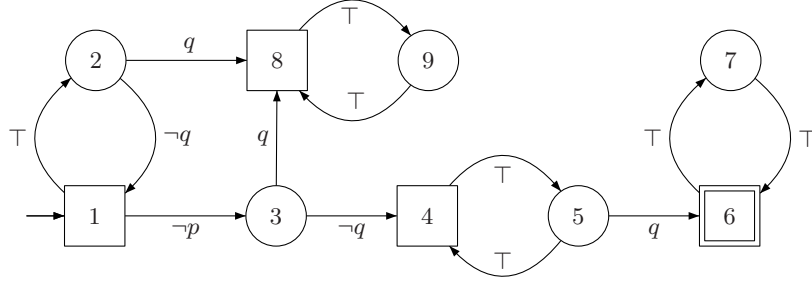
*Infinite Word Automata* An *infinite word automaton* over the finite alphabet  $\Sigma$  is a tuple  $A = (\Sigma, Q, q_0, \alpha, \delta)$  where  $Q$  is a finite set of states,  $q_0 \in Q$  is the initial state,  $\alpha \subseteq Q$  is a set of final states and  $\delta \subseteq Q \times \Sigma \times Q$  is a transition relation. For all  $q \in Q$  and all  $\sigma \in \Sigma$ , we let  $\delta(q, \sigma) = \{q' \mid (q, \sigma, q') \in \delta\}$ . We let  $|A| = |Q| + |\delta|$  be the size of  $A$ . We say that  $A$  is *deterministic* if  $\forall q \in Q \cdot \forall \sigma \in \Sigma \cdot |\delta(q, \sigma)| \leq 1$ . It is *complete* if  $\forall q \in Q \cdot \forall \sigma \in \Sigma \cdot \delta(q, \sigma) \neq \emptyset$ . In this paper, unless otherwise stated, the automata are complete. A *run* of  $A$  on a word  $w = \sigma_0\sigma_1 \dots \in \Sigma^\omega$  is an infinite sequence of states  $\rho = \rho_0\rho_1 \dots \in Q^\omega$  such that  $\rho_0 = q_0$  and  $\forall i \geq 0 \cdot \rho_{i+1} \in \delta(\rho_i, \sigma_i)$ . We denote by  $\text{Runs}_A(w)$  the set of runs of  $A$  on  $w$ . We denote by  $\text{Visit}(\rho, q)$  the number of times the state  $q$  occurs along the run  $\rho$ . We consider three acceptance conditions (a.c.) for infinite word automata. A word  $w$  is accepted by  $A$  if (depending on the a.c.):

$$\begin{aligned} \text{Non-deterministic Büchi} & : \exists \rho \in \text{Runs}_A(w) \cdot \exists q \in \alpha \cdot \text{Visit}(\rho, q) = \infty \\ \text{Universal Co-Büchi} & : \forall \rho \in \text{Runs}_A(w) \cdot \forall q \in \alpha \cdot \text{Visit}(\rho, q) < \infty \\ \text{Universal } K\text{-Co-Büchi} & : \forall \rho \in \text{Runs}_A(w) \cdot \forall q \in \alpha \cdot \text{Visit}(\rho, q) \leq K \end{aligned}$$

The set of words accepted by  $A$  with the non-deterministic Büchi a.c. is denoted by  $L_b(A)$ , and with this a.c. in mind, we say that  $A$  is a non-deterministic Büchi word automaton, **NBW** for short. Similarly, we denote respectively by  $L_{uc}(A)$  and  $L_{uc,K}(A)$  the set of words accepted by  $A$  with the universal co-Büchi and universal  $K$ -co-Büchi a.c. respectively. With those interpretations, we say that  $A$  is a universal co-Büchi automaton (UCW) and that  $(A, K)$  is a universal  $K$ -co-Büchi automaton (UKCW) respectively. By duality, we have clearly  $L_b(A) = \overline{L_{uc}(A)}$ , for any infinite word automaton  $A$ . Finally, note that for any  $0 \leq K_1 \leq K_2$ , we have that  $L_{uc,K_1}(A) \subseteq L_{uc,K_2}(A) \subseteq L_{uc}(A)$ .

*Infinite automata and LTL* It is well-known (see for instance [19]) that NBWs subsume LTL in the sense that for all LTL formula  $\phi$ , there is an NBW  $A_\phi$  (possibly exponentially larger) such that  $L_b(A_\phi) = \{w \mid w \models \phi\}$ . Similarly, by duality it is straightforward to associate an equivalent UCW with any LTL formula  $\phi$ : take  $A_{\neg\phi}$  with the universal co-Büchi a.c., so  $L_{uc}(A_{\neg\phi}) = \overline{L_b(A_{\neg\phi})} = L_b(A_\phi) = \{w \mid w \models \phi\}$ .

To reflect the game point of view of the realizability problem, we introduce the notion of turn-based automata to define the specification. A *turn-based automaton*  $A$  over the input alphabet  $\Sigma_I$  and the output alphabet  $\Sigma_O$  is a tuple  $A = (\Sigma_I, \Sigma_O, Q_I, Q_O, q_0, \alpha, \delta_I, \delta_O)$  where  $Q_I, Q_O$  are finite sets of input and output states respectively,  $q_0 \in Q_O$  is the initial state,  $\alpha \subseteq Q_I \cup Q_O$  is the set of final states, and  $\delta_I \subseteq Q_I \times \Sigma_I \times Q_O$ ,  $\delta_O \subseteq Q_O \times \Sigma_O \times Q_I$  are the input and output transition relations respectively. It is *complete* if for all  $q_I \in Q_I$ , and all  $\sigma_I \in \Sigma_I$ ,  $\delta_I(q_I, \sigma_I) \neq \emptyset$ , and for all  $q_O \in Q_O$  and all  $\sigma_O \in \Sigma_O$ ,  $\delta_O(q_O, \sigma_O) \neq \emptyset$ . As for usual automata, in this paper we assume that turn-based automata are always complete. Turn-based automata  $A$  still run on words



**Fig. 1.** tbUCW for  $\diamond q \rightarrow (p \mathcal{U} q)$  where  $I = \{q\}$  and  $O = \{p\}$

from  $\Sigma^\omega$  as follows: a run on a word  $w = (o_0 \cup i_0)(o_1 \cup i_1) \cdots \in \Sigma^\omega$  is a word  $\rho = \rho_0 \rho_1 \cdots \in (Q_O Q_I)^\omega$  such that  $\rho_0 = q_0$  and for all  $j \geq 0$ ,  $(\rho_{2j}, o_j, \rho_{2j+1}) \in \delta_O$  and  $(\rho_{2j+1}, i_j, \rho_{2j+2}) \in \delta_I$ . All the acceptance conditions considered in this paper carry over to turn-based automata. Turn-based automata with acceptance conditions **C** are denoted by **tbC**, e.g. **tbNBW**. Every UCW (resp. NBW) with state set  $Q$  and transition set  $\Delta$  is equivalent to a **tbUCW** (resp. **tbNBW**) with  $|Q| + |\Delta|$  states: the new set of states is  $Q \cup \Delta$ , final states remain the same, and each transition  $r = q \xrightarrow{\sigma_o \cup \sigma_i} q' \in \Delta$  where  $\sigma_o \in \Sigma_O$  and  $\sigma_i \in \Sigma_I$  is split into a transition  $q \xrightarrow{\sigma_o} r$  and a transition  $r \xrightarrow{\sigma_i} q'$ .

**Moore Machines** LTL realizability is equivalent to LTL realizability by a finite-state strategy [13]. We use Moore machines to represent finite-state strategies. A *Moore machine*  $M$  with input alphabet  $\Sigma_I$  and output alphabet  $\Sigma_O$  is a tuple  $(\Sigma_I, \Sigma_O, Q_M, q_0, \delta_M, g_M)$  where  $Q_M$  is a finite set of states with initial state  $q_0$ ,  $\delta_M : Q_M \times \Sigma_I \rightarrow Q_M$  is a (total) transition function, and  $g_M : Q \rightarrow \Sigma_O$  is a (total) output function. We extend  $\delta_M$  to  $\delta_M^* : \Sigma_I^* \rightarrow Q_M$  inductively as follows:  $\delta_M^*(\epsilon) = q_0$  and  $\delta_M^*(u\sigma) = \delta_M(\delta_M^*(u), \sigma)$ . The language of  $M$ , denoted by  $L(M)$ , is the set of words  $w = (o_0 \cup i_0)(o_1 \cup i_1) \cdots \in \Sigma_P^\omega$  such that for all  $j \geq 0$ ,  $\delta_M^*(i_0 \dots i_{j-1})$  is defined and  $o_j = g_M(\delta_M^*(i_0 \dots i_{j-1}))$ . In particular,  $o_0 = g_M(\delta_M^*(\epsilon)) = g_M(q_0)$ . The size of a Moore machine is defined similarly as the size of an automaton.

Thanks to previous remarks, the LTL realizability problem reduces to decide, given a **tbUCW**  $A$  over inputs  $\Sigma_I$  and outputs  $\Sigma_O$ , whether there is a non-empty Moore machine  $M$  such that  $L(M) \subseteq L_{uc}(A)$ . In this case we say that  $A$  is realizable. In our implementation, the **tbUCW** is equivalent to an LTL formula given as input and is constructed by using *Wring* [19].

**Running example** A **tbUCW**  $A$  equivalent to  $\diamond q \rightarrow (p \mathcal{U} q)$  is depicted in Fig. 1. Output states  $Q_O = \{1, 4, 6, 8\}$  are depicted by squares and input states  $Q_I = \{2, 3, 5, 7, 9\}$  by circles. In the transitions,  $\top$  stands for the sets  $\Sigma_I$  or  $\Sigma_O$ , depending on the context,  $\neg q$  (resp.  $\neg p$ ) stands for the sets that do not contain  $q$  (resp.  $p$ ), i.e. the empty set. One can see that starting from state 1, if the controller does not assert  $p$  and next the environment does not assert  $q$ , then the run is in state 4. From this state, whatever the controller does, if the environment asserts  $q$ , then the controller loses, as state 6 will be visited infinitely often. A strategy for the controller is to assert  $p$  all the time, therefore the runs will loop

in states 1 and 2 until the environment asserts  $q$ . Afterwards the runs will loop in states 8 and 9, which are non-final.

### 3 Reduction to a UKCW Objective

In this section, we reduce the realizability problem with a specification given by a turn-based universal co-Büchi automaton (tbUCW) to a specification given by a turn-based universal  $K$ -co-Büchi automaton (tbUKCW). Then we reduce this new problem to an infinite turn-based two-player game with a safety winning condition. This is done via an easy determinization of tbUKCWs (which produces a deterministic tbUKCW). To solve this game efficiently, we propose an antichain-based algorithm in Section 4.

**Lemma 1.** *Let  $A$  be a tbUCW over inputs  $\Sigma_I$  and outputs  $\Sigma_O$  with  $n$  states, and  $M$  be a Moore machine over inputs  $\Sigma_I$  and outputs  $\Sigma_O$  with  $m$  states. Then  $L(M) \subseteq L_{uc}(A)$  iff  $L(M) \subseteq L_{uc,2mn}(A)$ .*

*Proof.* The back direction is obvious since  $L_{uc,k}(A) \subseteq L_{uc}(A)$  for all  $k \in \mathbb{N}$ . We sketch the forth direction. Informally, the infinite paths of  $M$  starting from the initial state define words that are accepted by  $A$ . Therefore in the product of  $M$  and  $A$ , there is no cycle visiting an accepting state of  $A$ , which allows one to bound the number of visited final states by the number of states in the product.  $\square$

The following result is proved in Th. 4.3 of [10], as a small model property of universal co-Büchi tree automata. We also prove it here for the sake of self-containdness.

**Lemma 2.** *Given a realizable tbUCW  $A$  over inputs  $\Sigma_I$  and outputs  $\Sigma_O$  with  $n$  states, there exists a non-empty Moore machine with at most  $n^{2n+2} + 1$  states that realizes it.*

*Proof.* We sketch the proof. In the first step, we show by using Safra's determinization of NBWs that  $A$  is equivalent to a turn-based deterministic and complete parity automaton  $A^d$ . By using a result of [12], we can assume that  $A^d$  has at most  $m := 2n^{2n+2} + 2$  states. We then view  $A^d$  has a turn-based two-player parity game  $G(A^d)$  (with at most  $m$  states) such that  $A^d$  (or equivalently  $A$ ) is realizable iff Player  $O$  has a winning strategy in  $G(A^d)$ . It is known that parity games admit memoryless strategies [8]. Therefore if  $A^d$  is realizable, there exists a strategy for Player  $O$  in  $G(A^d)$  that can be obtained by removing all but one outgoing edge per Player  $O$ 's state. We can finally transform this strategy into a Moore machine with at most  $n^{2n+2} + 1$  states that realizes  $A^d$  (and  $A$ ).  $\square$

The following theorem states that we can reduce the realizability of a tbUCW specification to the realizability of a tbUKCW specification.

**Theorem 1.** *Let  $A$  be a tbUCW over  $\Sigma_I, \Sigma_O$  with  $n$  states and  $K = 2n(n^{2n+2} + 1)$ . Then  $A$  is realizable iff  $(A, K)$  is realizable.*

*Proof.* If  $A$  is realizable, by Lem. 2, there is a non-empty Moore machine  $M$  with  $m$  states ( $m \leq n^{2n+2} + 1$ ) realizing  $A$ . Thus  $L(M) \subseteq L_{uc}(A)$  and by Lem. 1, it is equivalent to  $L(M) \subseteq L_{uc,2mn}(A)$ . We can conclude since  $L_{uc,2mn}(A) \subseteq L_{uc,K}(A)$  ( $2mn \leq K$ ). The converse is obvious as  $L_{uc,K}(A) \subseteq L_{uc}(A)$ .  $\square$

In the first part of this section, we reduced the tbUCW realizability problem to the tbUKCW realizability problem. In the next part, we reduce this new problem to a safety game. It is based on the determinization of tbUKCWs into complete turn-based deterministic 0-Co-Büchi automata, which can also be viewed as safety games.



**Safety Game** Turn-based two-player games are played on game arenas by two players, Player  $I$  and Player  $O$ . A *game arena* is a tuple  $G = (S_O, S_I, s_0, T)$  where  $S_I, S_O$  are disjoint sets of player states ( $S_I$  for Player  $I$  and  $S_O$  for Player  $O$ ),  $s_0 \in S_O$  is the initial state, and  $T \subseteq S_O \times S_I \cup S_I \times S_O$  is the transition relation. A *finite play* on  $G$  of length  $n$  is a finite word  $\pi = \pi_0\pi_1 \dots \pi_n \in (S_O \cup S_I)^*$  such that  $\pi_0 = s_0$  and for all  $i = 0, \dots, n-1$ ,  $(\pi_i, \pi_{i+1}) \in T$ . Infinite plays are defined similarly. Note that all infinite plays belong to  $(S_O S_I)^\omega$ . A *winning condition*  $W$  is a subset of  $(S_O S_I)^\omega$ . A play  $\pi$  is won by Player  $O$  if  $\pi \in W$ , otherwise it is won by Player  $I$ . A *strategy*  $\lambda_i$  for Player  $i$  ( $i \in \{I, O\}$ ) is a mapping that maps any finite play whose last state  $s$  is in  $S_i$  to a state  $s'$  such that  $(s, s') \in T$ . The *outcome* of a strategy  $\lambda_i$  of Player  $i$  is the set  $\text{Outcome}_G(\lambda_i)$  of infinite plays  $\pi = \pi_0\pi_1\pi_2 \dots \in (S_O S_I)^\omega$  such that for all  $j \geq 0$ , if  $\pi_j \in S_i$ , then  $\pi_{j+1} = \lambda_i(\pi_0, \dots, \pi_j)$ . We consider the safety winning condition. It is given by a subset of states denoted by **safe**. A strategy  $\lambda_i$  for Player  $i$  is *winning* if  $\text{Outcome}_G(\lambda_i) \subseteq \text{safe}^\omega$ . We sometimes write  $(S_O, S_I, s_0, T, \text{safe})$  to denote the game  $G$  with safety condition **safe**. Finally, a strategy  $\lambda_i$  for Player  $i$  is winning in the game  $G$  from a state  $s \in S_O \cup S_I$  if it is winning in  $(S_O, S_I, s, T)$ .

**Determinization of UKCW** Let  $A$  be a tbUKCW  $(\Sigma_O, \Sigma_I, Q_O, Q_I, q_0, \alpha, \Delta_O, \Delta_I)$  with  $K \in \mathbb{N}$ . We let  $Q = Q_O \cup Q_I$  and  $\Delta = \Delta_O \cup \Delta_I$ . It is easy to construct an equivalent complete turn-based deterministic 0-co-Büchi automaton  $\text{det}(A, K)$ . Intuitively, it suffices to extend the usual subset construction with counters, for all  $q \in Q$ , that count (up to  $K+1$ ) the maximal number of accepting states which have been visited by runs ending up in  $q$ . We set the counter of a state  $q$  to  $-1$  when no run on the prefix read so far ends up in  $q$ . The final states are the sets in which a state has its counter greater than  $K$ . For any  $n \in \mathbb{N}$ ,  $[n]$  denotes the set  $\{-1, 0, 1, \dots, n\}$ . Formally, we let  $\text{det}(A, K) = (\Sigma_O, \Sigma_I, \mathcal{F}_O, \mathcal{F}_I, F_0, \alpha', \delta_O, \delta_I)$  where:

$$\begin{aligned} \mathcal{F}_O &= \{F \mid F \text{ is a mapping from } Q_O \text{ to } [K+1]\} \\ \mathcal{F}_I &= \{F \mid F \text{ is a mapping from } Q_I \text{ to } [K+1]\} \\ F_0 &= q \in Q_O \mapsto \begin{cases} -1 & \text{if } q \neq q_0 \\ (q_0 \in \alpha) & \text{otherwise} \end{cases} \\ \alpha' &= \{F \in \mathcal{F}_I \cup \mathcal{F}_O \mid \exists q, F(q) > K\} \\ \text{succ}(F, \sigma) &= q \mapsto \max\{\min(K+1, F(p) + (q \in \alpha)) \mid q \in \Delta(p, \sigma), F(p) \neq -1\} \\ \delta_O &= \text{succ}|_{\mathcal{F}_O \times \Sigma_O} \quad \delta_I = \text{succ}|_{\mathcal{F}_I \times \Sigma_I} \end{aligned}$$

where  $\max \emptyset = -1$ , and  $(q \in \alpha) = 1$  if  $q$  is in  $\alpha$ , and 0 otherwise. The automaton  $\text{det}(A, K)$  has the following properties:

**Proposition 1.** *Let  $A$  be a tbUCW and  $K \in \mathbb{N}$ . Then  $\text{det}(A, K)$  is deterministic, complete, and  $L_{uc,0}(\text{det}(A, K)) = L_{uc,K}(A)$ .*

**Reduction to a Safety game** Finally, we define the game  $G(A, K)$  as follows: it is  $\text{det}(A, K)$  where input states are viewed as Player  $I$ 's states and output states as Player  $O$ 's states. Transition labels can be ignored since  $\text{det}(A, K)$  is deterministic. Formally,  $G(A, K) = (\mathcal{F}_O, \mathcal{F}_I, F_0, T, \text{safe})$  where  $\text{safe} = \mathcal{F} \setminus \alpha'$  and  $T = \{(F, F') \mid \exists \sigma \in \Sigma_O \cup \Sigma_I, F' = \text{succ}(F, \sigma)\}$ . As an obvious consequence of Th. 1 and Prop. 1, we get:

**Theorem 2 (Reduction to a safety game).** *Let  $A$  be a tbUCW over inputs  $\Sigma_I$  and outputs  $\Sigma_O$  with  $n$  states ( $n > 0$ ), and let  $K = 2n(n^{2n+2} + 1)$ . The specification  $A$  is realizable iff Player  $O$  has a winning strategy in the game  $G(A, K)$ .*

## 4 Antichain-based Symbolic Algorithm

*A fixpoint algorithm* In the previous section, we have shown how to reduce the realizability problem to a safety game. Symbolic algorithms for solving safety games are constructed using the so-called controllable predecessor operator, see [8] for details. Let  $A = (\Sigma_O, \Sigma_I, Q_0, Q_I, q_0, \alpha, \Delta_O, \Delta_I)$  be a tbUCW with  $n$  states,  $K = 2n(n^{2n+2} + 1)$  and  $G(A, K) = (\mathcal{F}_O, \mathcal{F}_I, F_0, T, \text{safe})$  be the two-player turn-based safety game defined in the previous section. Remind that  $\Delta = \Delta_O \cup \Delta_I$  and let  $\mathcal{F} = \mathcal{F}_O \cup \mathcal{F}_I$ . In our case, the controllable predecessor operator is based on the two following monotonic functions over  $2^{\mathcal{F}}$ :

$$\begin{aligned} \text{Pre}_I : 2^{\mathcal{F}_O} &\rightarrow 2^{\mathcal{F}_I} \\ S &\mapsto \{F \in \mathcal{F}_I \mid \forall F' \in \mathcal{F}_O, (F, F') \in T \implies F' \in S\} \cap \text{safe} \end{aligned}$$

$$\begin{aligned} \text{Pre}_O : 2^{\mathcal{F}_I} &\rightarrow 2^{\mathcal{F}_O} \\ S &\mapsto \{F \in \mathcal{F}_O \mid \exists F' \in \mathcal{F}_I, (F, F') \in T\} \cap \text{safe} \end{aligned}$$

Let  $\text{CPre} = \text{Pre}_O \circ \text{Pre}_I$  (CPre stands for ‘‘controllable predecessors’’). The function CPre is monotonic over the complete lattice  $(2^{\mathcal{F}_O}, \subseteq)$ , and so it has a greatest fixed point that we denote by  $\text{CPre}^*$ .

**Theorem 3.** *The set of states from which Player O has a winning strategy in  $G(A, K)$  is equal to  $\text{CPre}^*$ .*

In particular, by Th. 2,  $F_0 \in \text{CPre}^*$  iff the specification  $A$  is realizable. To compute  $\text{CPre}^*$ , we consider the following  $\subseteq$ -descending chain:  $S_0 = \mathcal{F}$ , and for  $i \geq 0$   $S_{i+1} = \text{CPre}(S_i) \cap S_i$ , until  $S_{k+1} = S_k$ .

*Ordering of game configurations.* We define the relation  $\preceq \subseteq \mathcal{F}_I \times \mathcal{F}_I \cup \mathcal{F}_O \times \mathcal{F}_O$  by  $F \preceq F'$  iff  $\forall q, F(q) \leq F'(q)$ . It is clear that  $\preceq$  is a partial order. Intuitively, if Player O can win from  $F'$  then she can also win from all  $F \preceq F'$ . Formally,  $\preceq$  is a game simulation relation in the terminology of [3].

*Closed sets and antichains.* A set  $S \subseteq \mathcal{F}$  is *closed* for  $\preceq$ , if  $\forall F \in S \cdot \forall F' \preceq F \cdot F' \in S$ . We usually omit references to  $\preceq$  if clear from the context. Let  $S_1$  and  $S_2$  be two closed sets, then  $S_1 \cap S_2$  and  $S_1 \cup S_2$  are closed. Furthermore, the image of a closed set  $S$  by the functions  $\text{Pre}_I$ ,  $\text{Pre}_O$ , and  $\text{CPre}$  are closed sets:

**Lemma 3.** *For all closed sets  $S_1, S_2 \subseteq \mathcal{F}_I$ ,  $S_3 \subseteq \mathcal{F}_O$ , the sets  $\text{Pre}_O(S_1)$ ,  $\text{CPre}(S_2)$ , and  $\text{Pre}_I(S_3)$  are closed.*

As a consequence, all the sets manipulated by the symbolic algorithm above are closed sets. We next show how to represent and manipulates those sets efficiently.

The *closure* of a set  $S \subseteq \mathcal{F}$ , denoted by  $\downarrow S$ , is the set  $S' = \{F' \in \mathcal{F} \mid \exists F \in S \cdot F' \preceq F\}$ . Note that for all closed sets  $S \subseteq \mathcal{F}$ ,  $\downarrow S = S$ . A set  $L \subseteq \mathcal{F}$  is an *antichain* if all elements of  $L$  are incomparable for  $\preceq$ . Let  $S \subseteq \mathcal{F}$ , we denote by  $\lceil S \rceil$  the set of maximal elements of  $S$ , that is  $\lceil S \rceil = \{F \in S \mid \nexists F' \in S \cdot F' \neq F \wedge F \preceq F'\}$ , it is an antichain. If  $S$  is closed then  $\downarrow \lceil S \rceil = S$ , i.e. antichains are *canonical representations* for closed sets. Next, we show that antichains are a compact and efficient representation to manipulate closed sets in  $\mathcal{F}$ . We start with the algorithms for union, intersection, inclusion and membership. Since the size of a state  $F \in \mathcal{F}$  is in practice much smaller than the number of elements in the antichains, we consider that comparing two states is in constant time.



**Proposition 2.** Let  $L_1, L_2 \subseteq \mathcal{F}$  be two antichains and  $F \in \mathcal{F}$ , then (i)  $\downarrow L_1 \cup \downarrow L_2 = \downarrow [L_1 \cup L_2]$ , this antichain can be computed in time  $O((|L_1| + |L_2|)^2)$  and its size is bounded by  $|L_1| + |L_2|$ , (ii)  $\downarrow L_1 \cap \downarrow L_2 = \downarrow [L_1 \cap L_2]$ , where  $F_1 \cap F_2 : q \mapsto \min(F_1(q), F_2(q))$ , this antichain can be computed in time  $O(|L_1|^2 \times |L_2|^2)$  and its size is bounded by  $|L_1| \times |L_2|$ , (iii)  $\downarrow L_1 \subseteq \downarrow L_2$  iff  $\forall F_1 \in L_1 \cdot \exists F_2 \in L_2 \cdot F_1 \preceq F_2$ , which can be established in time  $O(|L_1| \times |L_2|)$ , (iv)  $F \in \downarrow L_1$  can be established in time  $O(|L_1|)$ .

Let us now turn to the computation of controllable predecessors. Let  $F \in \mathcal{F}$ , and  $\sigma \in \Sigma_I \cup \Sigma_O$ . We denote by  $\Omega(F, \sigma) \in \mathcal{F}$  the function defined by:

$$\Omega(F, \sigma) : q \in Q \mapsto \min\{\max(-1, F(q') - (q' \in \alpha)) \mid (q, \sigma, q') \in \delta\}$$

Note that since  $A$  is complete, the argument of  $\min$  is a non-empty set. The function  $\Omega$  is not the inverse of the function  $\text{succ}$ , as  $\text{succ}$  has no inverse in general. Indeed, it might be the case that a state  $F \in \mathcal{F}$  has no predecessors or has more than one predecessor  $H$  such that  $\text{succ}(H, \sigma) = F$ . However, we prove the following:

**Proposition 3.** For all  $F, F' \in \mathcal{F} \cap \text{safe}$ , and all  $\sigma \in \Sigma_I \cup \Sigma_O$ ,

$$\begin{aligned} (i) \quad F \preceq F' &\implies \Omega(F, \sigma) \preceq \Omega(F', \sigma) & (iii) \quad F \preceq \Omega(\text{succ}(F, \sigma), \sigma) \\ (ii) \quad F \preceq F' &\implies \text{succ}(F, \sigma) \preceq \text{succ}(F', \sigma) & (iv) \quad \text{succ}(\Omega(F, \sigma), \sigma) \preceq F \end{aligned}$$

For all  $S \subseteq \mathcal{F}$  and  $\sigma \in \Sigma_I \cup \Sigma_O$ , we denote by  $\text{Pre}(S, \sigma) = \{F \mid \text{succ}(F, \sigma) \in S\}$  the set of predecessors of  $S$ . The set of predecessors of a closed set  $\downarrow F$  is closed and has a unique maximal element  $\Omega(F, \sigma)$ :

**Lemma 4.** For all  $F \in \mathcal{F} \cap \text{safe}$  and  $\sigma \in \Sigma_I \cup \Sigma_O$ ,  $\text{Pre}(\downarrow F, \sigma) = \downarrow \Omega(F, \sigma)$ .

*Proof.* Let  $H \in \text{Pre}(\downarrow F, \sigma)$ . Hence  $\text{succ}(H, \sigma) \preceq F$ . By Prop. 3(i), we have  $\Omega(\text{succ}(H, \sigma), \sigma) \preceq \Omega(F, \sigma)$ , from which we get  $H \preceq \Omega(F, \sigma)$ , by Prop. 3(iii). Conversely, let  $H \preceq \Omega(F, \sigma)$ . By Prop. 3(ii),  $\text{succ}(H, \sigma) \preceq \text{succ}(\Omega(F, \sigma), \sigma)$ . Since by Prop. 3(iv),  $\text{succ}(\Omega(F, \sigma), \sigma) \preceq F$ , we get  $\text{succ}(H, \sigma) \preceq F$ .  $\square$

We can now use the previous result to compute the controllable predecessors:

**Proposition 4.** Let  $A$  be a tbUKCW. Given two antichains  $L_1, L_2$  such that  $L_1 \subseteq \mathcal{F}_I \cap \text{safe}$  and  $L_2 \subseteq \mathcal{F}_O \cap \text{safe}$ :

$$\begin{aligned} \text{Pre}_O(\downarrow L_1) &= \bigcup_{\sigma \in \Sigma_O} \text{Pre}(\downarrow L_1, \sigma) = \bigcup_{\sigma \in \Sigma_O} \downarrow \{\Omega(F, \sigma) \mid F \in L_1\} \\ \text{Pre}_I(\downarrow L_2) &= \bigcap_{\sigma \in \Sigma_I} \text{Pre}(\downarrow L_2, \sigma) = \bigcap_{\sigma \in \Sigma_I} \downarrow \{\Omega(F, \sigma) \mid F \in L_2\} \end{aligned}$$

$\text{Pre}_O(\downarrow L_1)$  can be computed in time  $O(|\Sigma_O| \times |A| \times |L_1|)$ , and  $\text{Pre}_I(\downarrow L_2)$  can be computed in time  $O((|A| \times |L_2|)^{|\Sigma_I|})$ .

As stated in the previous proposition, the complexity of our algorithm for computing the  $\text{Pre}_I$  is worst-case exponential. We establish as a corollary of the next proposition that this is unavoidable unless  $P=NP$ . Given a graph  $G = (V, E)$ , a set of vertices  $W$  is independent iff no pairs of elements in  $W$  are linked by an edge in  $E$ . We denote by  $\text{IND}(G) = \{W \subseteq V \mid \forall \{v, v'\} \in E \cdot v \notin W \vee v' \notin W\}$  the set of independent sets in  $G$ . The problem "independent set" asks given a graph  $G = (V, E)$  and an integer  $0 \leq k \leq |V|$ , if there exists an independent set in  $G$  of size larger than  $k$ . It is known to be  $NP$ -complete.

**Proposition 5.** *Given a graph  $G = (V, E)$ , we can construct in deterministic polynomial time a UKCW  $A$ , with  $K = 0$ , and an antichain  $L$  such that  $\text{IND}(G) = \downarrow \text{Pre}_I(\text{Pre}_O(\text{Pre}_O((L)))$ .*

**Corollary 1.** *There is no polynomial time algorithm to compute the  $\text{Pre}_I$  operation on antichains unless  $P = NP$ .*

Note that this negative result is not a weakness of antichains. Indeed, it is easy to see from the proofs of those results that any algorithm based on a data structure that is able to represent compactly the set of subsets of a given set has this property.

*Incremental Algorithm.* In practice, for checking the existence of a winning strategy for Player  $O$  in the safety game, we rely on an incremental approach. We use the following property of UKCWs: for all  $K_1, K_2 \cdot 0 \leq K_1 \leq K_2 \cdot L_{uc, L_1}(A) \subseteq L_{uc, K_2}(A) \subseteq L_{uc}(A)$ . So, the following theorem which is a direct consequence of the previous property allows us to test the existence of strategies for increasing values of  $K$ :

**Theorem 4.** *For all tbUCWs  $A$ , for all  $K \geq 0$ , if Player  $O$  has a winning strategy in the game  $G(A, K)$  then the specification defined by  $A$  is realizable.*

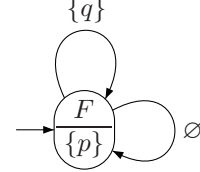
*Unrealizable Specifications.* The incremental algorithm is not reasonable to test unrealizability. Indeed, with this algorithm it is necessary to reach the bound  $2n(n^{2n+2} + 1)$  to conclude for unrealizability. To obtain a more practical algorithm, we rely on the determinacy of  $\omega$ -regular games (a corollary of the general result by Martin [11]).

**Theorem 5.** *For all LTL formulas  $\phi$ , either (i) there exists a Player  $O$ 's strategy  $\lambda_O$  s.t. for all Player  $I$ 's strategies  $\lambda_I$ ,  $\text{outcome}(\lambda_O, \lambda_I) \models \phi$ , or there exists a Player  $I$ 's strategy  $\lambda_I$  s.t. for all Player  $O$ 's strategies  $\lambda_O$ ,  $\text{outcome}(\lambda_O, \lambda_I) \models \neg\phi$ .*

So, when an LTL specification  $\phi$  is not realizable for Player  $O$ , it means that  $\neg\phi$  is realizable for Player  $I$ . To avoid in practice the enumeration of values for  $K$  up to  $2n(n^{2n+2} + 1)$ , we propose the following algorithm. First, given the LTL formula  $\phi$ , we construct two UCWs: one that accepts all the models of  $\phi$ , denoted by  $A_\phi$ , and one that accepts all the models of  $\neg\phi$ , denoted by  $A_{\neg\phi}$ . Then we check realizability by Player  $O$  of  $\phi$ , and in parallel realizability by Player  $I$  of  $\neg\phi$ , incrementing the value of  $K$ . When one of the two processes stops, we know if  $\phi$  is realizable or not. In practice, we will see that either  $\phi$  is realizable for Player  $O$  for a small value of  $K$  or  $\neg\phi$  is realizable for Player  $I$  for a small value of  $K$ .

*Synthesis* If a UCW  $A$  is realizable, it is easy to extract from the greatest fixpoint computation a Moore machine that realizes it. Let  $\Pi_I \subseteq \mathcal{F}_I \cap \text{safe}$  and  $\Pi_O \subseteq \mathcal{F}_O \cap \text{safe}$  be the two sets obtained by the greatest fixpoint computation. In particular,  $\Pi_I$  and  $\Pi_O$  are downward-closed and  $\text{Pre}_O(\Pi_I) = \Pi_O$ ,  $\text{Pre}_I(\Pi_O) = \Pi_I$ . By definition of  $\text{Pre}_O$ , for all  $F \in \lceil \Pi_O \rceil$ , there exists  $\sigma_F \in \Sigma$  such that  $\text{succ}(F, \sigma_F) \in \Pi_I$ , and this  $\sigma_F$  can be computed. From this we can extract a Moore machine whose set of states is  $\lceil \Pi_O \rceil$ , the output function maps any state  $F \in \lceil \Pi_O \rceil$  to  $\sigma_F$ , and the transition function, when reading some  $\sigma \in \Sigma_I$ , maps  $F$  to a state  $F' \in \lceil \Pi_O \rceil$  such that  $\text{succ}(\text{succ}(F, \sigma_F), \sigma) \preceq F'$  (it exists by definition of the fixpoint and by monotonicity of  $\text{succ}$ ). The initial state is some state  $F \in \lceil \Pi_O \rceil$  such that  $F_0 \preceq F$  (it exists if the specification is realizable). Let  $M$  be this Moore machine. For any word  $w$  accepted by  $M$ , it is clear that  $w$  is also accepted by  $\text{det}(A, K)$ , as  $\text{succ}$  is monotonic and  $\Pi_O \subseteq \text{safe}$ . Therefore  $L(M) \subseteq L_{uc,0}(\text{det}(A, K)) = L_{uc,K}(A) \subseteq L_{uc}(A)$ .

*Example* We apply the antichain algorithm on the tbUCW depicted in Fig. 1, with  $K = 1$ . Remember that  $I = \{q\}$  and  $O = \{p\}$ , so that  $\Sigma_I = \{\emptyset, \{q\}\}$  and  $\Sigma_O = \{\emptyset, \{p\}\}$ . For space reasons, we cannot give the whole fixpoint computation. We start with the safe state in  $G(A, K)$  for Player  $O$ , i.e. the constant function from  $Q_O$  to 1 denoted by  $F_1 = (1 \mapsto 1, 4 \mapsto 1, 6 \mapsto 1, 8 \mapsto 1)$ . It represents the set  $\downarrow F_1$ . Then we compute  $[\text{Pre}_I(\downarrow F_1)] \cap \text{safe} = \lfloor \downarrow \Omega(F_1, \{q\}) \cap \downarrow \Omega(F_1, \emptyset) \rfloor \cap \text{safe}$ . We have  $\Omega(F_1, \{q\}) = (2 \mapsto 1, 3 \mapsto 1, 5 \mapsto 0, 7 \mapsto 0, 9 \mapsto 1)$  and  $\Omega(F_1, \emptyset) = (2 \mapsto 1, 3 \mapsto 1, 5 \mapsto 1, 7 \mapsto 0, 9 \mapsto 1)$ . Therefore  $[\text{Pre}_I(\downarrow F_1)] = \{F_2 := (2 \mapsto 1, 3 \mapsto 1, 5 \mapsto 0, 7 \mapsto 0, 9 \mapsto 1)\}$ . Then we have  $\Omega(F_2, \{p\}) = \Omega(F_2, \emptyset) = (1 \mapsto 1, 4 \mapsto 0, 6 \mapsto 0, 8 \mapsto 1)$ . Therefore  $[\text{Pre}_O(\downarrow F_2)] \cap \text{safe} = [\text{CPre}(\{F_1\})] \cap \text{safe} = \{(1 \mapsto 1, 4 \mapsto 0, 6 \mapsto 0, 8 \mapsto 1)\}$ . At the end of the computation, we get the fixpoint  $\downarrow \{F := (1 \mapsto 1, 4 \mapsto -1, 6 \mapsto -1, 8 \mapsto 1)\}$ . Since the initial state  $F_0$  is in  $\downarrow F$ , Player  $O$  has a winning strategy and the formula is realizable. Fig. 2 shows a Moore machine obtained from the fixpoint computation.



**Fig. 2.** Moore machine

## 5 Performance Evaluation

In this section, we briefly present our implementation **Acacia** and compare it to Lily [9]. More information can be found online [2]. **Acacia** is a prototype implementation of our antichain algorithm for LTL realizability and synthesis. To achieve a fair comparison, **Acacia** is written in Perl as Lily. Given an LTL formula and a partition of its propositions into inputs and outputs, **Acacia** tests realizability of the formula. If it is realizable, it outputs a Moore machine representing a winning strategy for the output player<sup>5</sup>, otherwise it outputs a winning strategy for the input player. As Lily, **Acacia** runs in two steps. The first step builds a tbUCW for the formula, and the second step checks realizability of the automaton. As Lily, we borrow the LTL-to-tbUCW construction procedure from *Wring* [19] and adopt the automaton optimizations from Lily, so that we can exclude the influence of automata construction to the performance comparison between **Acacia** and Lily<sup>6</sup>.

We carried out experiments on a Linux platform with a 2.1GHz CPU and 2GB of memory. We compared **Acacia** and Lily on the test suite included in Lily, and on other examples derived from Lily’s examples, as detailed in the sequel. As shown in the previous section (Th. 5), realizability or unrealizability tests are slightly different, as we test unrealizability by testing the realizability by the environment of the negation of the specification. In the experiments, depending on whether the formula is realizable or not, we only report the results for the realizability or unrealizability tests. In practice, those two tests should be run in parallel.

*Results* Tables 1 and 2 report on the results of the tests for unrealizable and realizable examples respectively. In those tables, **Column formula size** gives the size of the formulas (number of atomic propositions and connectives). **Column tbUCW St./Tr.** gives

<sup>5</sup> Note that the correctness of this Moore machine can be automatically verified by model-checking tools if desired.

<sup>6</sup> In Lily, this first step produces universal co-Büchi tree automata over  $\Sigma_O$ -labeled  $\Sigma_I$ -trees, which can easily be seen as tbUCWs over inputs  $\Sigma_I$  and outputs  $\Sigma_O$ . Although the two models are close, we introduced tbUCWs for the sake of clarity (as all our developments are done on construction for word automata).

the number of states and transitions of the tbUCWs transformed from LTL formula. One may encounter  $\emptyset$  when Lily’s tbUCW optimization procedure concludes the language emptiness of the tbUCW. **Column tbUCW Time(s)** gives the time (in seconds) spent on building up the tbUCWs. For realizability tests, Lily and Acacia construct the same tbUCW, while for unrealizability tests, they are different (as shown in Section 4, we use the tbUCW corresponding to the negation of the formula). **Column Rank** gives the maximal rank used by Lily when trying to transform the tbUCW to an alternating weak tree automaton. *Rank* is a complexity measure for Lily. **Column Check Time(s)** gives the time (in seconds) spent in realizability checking. If the language of a tbUCW is proved to be empty during the optimization stage, Lily will directly conclude for unrealizability. **Column K** reports the minimal  $K$  for which Acacia was able to conclude realizability of the tbUCW. Usually,  $K$  is small for realizable specifications. **Column No. Iter.** gives the number of iterations to compute the fixpoint. **Column  $\max\{|\text{Pre}_I|\}/\max\{|\text{Pre}_O|\}$**  reports on the maximal sizes of the antichains obtained during the fixpoint computation when applying  $\text{Pre}_I$  and  $\text{Pre}_O$  respectively.

*Comments* Lily’s test suite includes examples 1 to 23. Except examples 1, 2, 4, and 11, they are all realizable. Table 2 shows, except demo 16, Acacia performs much better than Lily in realizability tests. For unrealizability tests, if we do not take into account the time for tbUCW construction, Acacia performs better as well. In the test suite, demo 3 describes a scheduler. We have taken a scalability test by introducing more clients. In Table 2, from 3.4 to 3.6, when the number of clients reached 4, Lily ran over-time ( $> 3600$  seconds). However, Acacia managed in finishing the check within the time bound. One can weaken/strengthen a specification by removing/appending environment assumptions and controller assertions. We have carried out a diagnostic test based on demo 22. In the test cases from 22.3 to 22.9, the environment assumptions are getting stronger and stronger. The specifications turn out to be realizable after the case 22.5. A controller with a stronger environment shall be easier to realize. The data in Table 2, from 22.5 to 22.9, confirm this. For unrealizability check, in Table 1 from 22.1 to 22.4, both tools spent more time on case 22.4 than on case 22.3. However, Acacia turns out to be better for *Check Time*. Finally, we can see that the bottleneck for examples 22.1 to 22.9, as well as for examples 20 to 22, is the time spent to construct the automaton. With regards to this concern, the improvement in time complexity compared to Lily is less impressive. However, it was not expected that this first step of the algorithm (constructing the NBW for the LTL formula) would have been the bottleneck of the approach. Indeed, the problem is 2EXPTIME-COMplete, while the automata construction is in EXPTIME, and in [13], the foreseen bottleneck is clearly the second step that relies on Safra’s determinization.

As a conclusion, the experiments show that the antichain algorithm is a very promising approach to LTL synthesis. Although the formulas are still rather small, the results validate the relevance of the method. Indeed, without any further optimization, the results outperform Lily. We think that our algorithm is a step towards the realization of a tool that can handle specifications of practical interest.

*Comparison with Kupferman-Vardi’s Approach (implemented in Lily)* In [10], the authors give a Safraless procedure for LTL synthesis. It is a three steps algorithm: (i) transform an LTL formula into a universal co-Büchi tree automaton (UCT)  $A$  that accepts the winning strategies of the controller, (ii) transform  $A$  into an alternating weak tree automaton  $B$  (AWT) such that  $L(B) \neq \emptyset$  iff  $L(A) \neq \emptyset$ , (iii) transform  $B$  into an equivalent Büchi tree automaton  $C$  (NBT) and test its emptiness. This latter problem

can be seen as solving a game with a Büchi objective. This approach differs from our approach in the following points. First, in [10], the author somehow reduce the realizability problem to a game with a *Büchi objective*, while our approach reduces it to a game with a *safety objective*. Second, our approach allows one to define a natural partial order on states that can be exploited by an antichain algorithm, which is not obvious in the approach of [10]. Finally, in [10], states of AWT are equipped with unique ranks that partition the set of states into layers. States which share the same rank are either all accepting or all non-accepting. The transition function allows one to stay in the same layer or to go in a layer with lower rank. A run is accepting if it gets stuck in a non-accepting layer. While our notion of counters looks similar to ranks, it is different. Indeed, the notion of rank does not constraint the runs to visit accepting states a bounded number of times (bounded by a constant). This is why a Büchi acceptance condition is needed, while counting the number of visited accepting states allows us to define a safety acceptance condition. However, we conjecture that when our approach concludes for realizability with bound  $k$ , the algorithm of [10] can conclude for realizability with a maximal rank linearly bounded by  $k$ . The converse is not true, we can define a class of examples where the maximal rank needed by [10] is 1 while our approach necessarily needs to visit at least an exponential number of accepting states. This is because the ranks does not count the number of accepting states, but counts somehow the number of finite sequences of accepting states of a certain type. We think that it is an interesting question for future research to see how the two methods can benefit from each other, and to formally prove the conjecture above.

## 6 Summary

This paper described a novel Safrales approach to LTL realizability and synthesis, based on universal  $K$ -Co-Büchi word automata. These automata can be easily determined, and enjoy a structure that allowed us to define an antichain algorithm for LTL realizability, implemented in the tool Acacia. The results are very promising, as Acacia outperforms the existing tool Lily without any further optimizations (apart from antichains) while Lily uses clever optimizations to make the Vardi and Kupferman algorithm practical. Note that our approach also applies to any logic which can be translated into a UCW, and in particular, any logic closed by negation which can be translated into an NBW<sup>7</sup>.

We plan to optimize Acacia in several ways. First, as the construction of the non-deterministic automaton from the LTL formula is currently the bottleneck of our approach, we would like to translate LTL formulas (in linear time) into alternating word automata, and then to UCW by applying the Miyano-Hayashi (MH) construction [17] implicitly as in [21, 6]. The difficulty here is to find an adequate symbolic representation of counting functions for the implicit MH state space. Second, we would like to study how a compositional approach to realizability could apply to specifications which are large conjunctions of (small) sub-specifications.

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<sup>7</sup> Note also that any  $\omega$ -regular specification can be expressed as a UCW, as a consequence our method is applicable to all such objective.

	Lily				Acacia					
	formula size	tbUCW St./Tr.	tbUCW Time(s)	Check Time(s)	tbUCW St./Tr.	tbUCW Time(s)	K	No. Iter.	$\frac{\max\{ \text{Pre}_I \}}{\max\{ \text{Pre}_O \}}$	Check Time(s)
1	28	∅	0.17	0.01	6/27	0.24	1	1	1/1	0.00
2	28	∅	0.17	0.01	18/101	1.89	3	6	1/1	0.05
4	38	18/56	3.53	1.13	23/121	3.16	2	8	3/4	0.14
11	12	∅	1.32	0.04	3/10	0.07	0	1	1/1	0.00
22.1	24	5/9	0.18	0.09	22/126	4.97	1	5	2/2	0.05
22.2	23	4/14	0.32	0.11	23/126	4.85	1	4	1/1	0.04
22.3	29	5/15	0.36	0.11	23/130	6.25	1	5	2/2	0.06
22.4	37	6/34	2.48	0.18	26/137	6.47	1	10	12/10	0.38

**Table 1.** Performance comparison for unrealizability test

	Lily				Acacia					
	formula size	tbUCW St./Tr.	tbUCW Time(s)	Rank	Check Time(s)	K	No. Iter.	$\frac{\max\{ \text{Pre}_O \}}{\max\{ \text{Pre}_I \}}$	Check Time(s)	
3	34	10/28	0.97	1	0.30	0	2	2/2	0.00	
5	44	13/47	1.53	1	0.65	0	2	2/2	0.01	
6	49	19/63	3.19	1	0.91	0	3	3/3	0.03	
7	50	11/34	1.42	1	0.31	0	2	2/2	0.01	
8	7	3/6	0.07	1	0.02	0	1	1/1	0.00	
9	22	5/10	0.33	1	0.03	1	6	3/2	0.01	
10	13	7/21	0.63	1	0.10	0	1	1/1	0.00	
12	14	8/26	0.35	1	0.07	0	1	1/1	0.00	
13	11	3/4	0.02	1	0.01	1	3	2/1	0.00	
14	21	5/13	0.26	1	0.07	1	4	3/3	0.01	
15	31	6/16	0.24	1	0.11	2	9	9/13	0.08	
16	56	8/26	0.57	1	1.45	3	16	64/104	7.89	
17	37	6/20	0.40	1	0.31	2	12	8/7	0.10	
18	63	8/31	0.92	1	2.35	2	12	19/19	0.89	
19	32	7/17	0.75	3	4.05	2	12	5/5	0.03	
20	72	25/198	7.03	1	0.99	0	3	1/1	0.04	
21	119	13/72	15.61	1	1.88	0	4	25/13	0.40	
22	62	19/115	25.28	1	1.21	1	7	4/7	0.10	
23	19	7/12	0.47	1	0.04	2	2	2/1	0.00	
3.1	34	10/28	1.09	1	0.31	0	2	2/2	0.01	
3.2	63	18/80	2.60	1	7.70	0	2	4/4	0.07	
3.3	92	26/200	2.60	1	554.99	0	2	8/8	0.65	
3.4	121	34/480	7.59	-	> 3600	0	2	16/16	8.46	
3.5	150	42/1128	12.46	-	> 3600	0	2	32/32	138.18	
3.6	179	50/2608	22.76	-	> 3600	1	2	64/64	2080.63	
22.5	41	7/38	4.17	1	0.50	2	19	4/6	0.12	
22.6	62	19/115	21.20	1	1.52	1	7	4/7	0.11	
22.7	56	13/75	7.51	1	0.73	1	6	3/4	0.05	
22.8	51	10/50	3.82	1	0.43	1	5	2/3	0.03	
22.9	47	7/29	1.46	1	0.33	1	5	2/3	0.02	

**Table 2.** Performance comparison for realizability test



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## A Missing Proofs

### A.1 Proof of Lemma 1

*Proof.* The back direction is obvious since  $L_{uc,k}(A) \subseteq L_{uc}(A)$  for all  $k \in \mathbb{N}$ . For the forth direction, we first transform  $M$  into a **tbNBW**  $A_M$  such that  $L(M) = L_b(A_M)$ . It suffices to copy every state of  $M$  and to define the transitions as follows: if  $q \xrightarrow{i} q'$  is a transition of  $M$  with  $i \in \Sigma_I$ , and the output of  $q$  is  $o \in \Sigma_O$ , then we transform this transition into the two  $A_M$  transitions  $q \xrightarrow{o} q^c$  and  $q^c \xrightarrow{i} q'$  where  $q^c$  is a fresh state denoting a copy of  $q$ . All states of  $A_M$  are set to be final, so that  $L_b(A_M)$  is exactly the set of traces of infinite paths of  $A_M$  (viewed as an edge-labeled graph) starting from the initial state. By hypothesis,  $L_b(A_M) \subseteq L_{uc}(A)$ . Note that  $A_M$  has  $2m$  states.

Let  $Q$  be the set of states of  $A$ ,  $Q_{A_M}$  the set of states of  $A_M$  and  $A \times A_M$  the product of  $A$  and  $A_M$  (i.e. the automaton over  $\Sigma_I \cup \Sigma_O$  whose set of states is  $Q \times Q_{A_M}$ , initial states are pairs of initial states, and transitions have the form  $(q, p) \xrightarrow{\sigma} (q', p')$  for all transitions  $q \xrightarrow{\sigma} q'$  of  $A$  and  $p \xrightarrow{\sigma} p'$  of  $A_M$ ). Since  $L_b(A_M) \subseteq L_{uc}(A)$ , there is no cycle in  $A \times A_M$  reachable from an initial state and that contains a state  $(q, p)$  where  $q \in Q$  is final. Indeed, otherwise there would exist an infinite path in  $A \times A_M$  visiting  $(q, p)$  infinitely often. Every infinite word obtained as a trace of this path would be accepted by  $A_M$  but not by  $A$  (since there would be a run on it visiting  $q$  infinitely often). Therefore the runs of  $A$  on words accepted by  $A_M$  visit at most  $2nm$  final states, where  $n$  (resp.  $2m$ ) is the number of states of  $A$  (resp.  $A_M$ ).  $\square$

### A.2 Proof of Lemma 2

*Parity Conditions* The proof uses the parity acceptance condition for automata and for games. Given an automaton  $B$  with state set  $Q_B$ , a parity acceptance condition is given by a mapping  $c$  from  $Q_B$  to  $\mathbb{N}$ . A run  $\rho$  is accepting if  $\min\{c(q) \mid q \in \text{Inf}(\rho)\}$  is even. Final states are not needed in  $B$  for this acceptance condition. We denote by  $L_{par,c}(B)$  the language accepted by  $B$  under the parity acceptance condition  $c$ .

Given a turn-based two-player game  $G = (S_O, S_I, s_0, \Delta)$ , the parity winning condition is given by a mapping  $c : S_O \cup S_I \rightarrow \mathbb{N}$ . In that case, for all  $i \in \{O, I\}$ , a strategy  $\lambda_i$  for Player  $i$  is winning if  $\text{Outcome}_G(\lambda_i) \subseteq \{\pi \in (S_O S_I)^\omega \mid \min\{c(s) \mid s \in \text{Inf}(\pi)\} \text{ is even}\}$ .

*Proof* Let  $A = (\Sigma_O, \Sigma_I, Q_O, Q_I, q_0, \alpha, \delta_O, \delta_I)$ . We let  $Q = Q_O \cup Q_I$  and  $\delta = \delta_O \cup \delta_I$ . Let  $A_{OI}$  be the automaton  $(\Sigma, Q, q_0, \alpha, \delta)$ . We denote by  $m : (\Sigma_O \Sigma_I)^\omega \rightarrow \Sigma^\omega$  the function that maps any word  $w = o_0 i_0 o_1 i_1 \dots$  to  $m(w) = (o_0 \cup i_0)(o_1 \cup i_1) \dots$ . Note that  $m$  admits an inverse denoted by  $m^{-1}$ . We have that  $m(L_b(A_{OI})) = L_b(A)$  (\*). By Safra's determinization, there exists a deterministic parity automaton  $D_{OI}$  with a parity condition  $c$  such that  $L_{par,c}(D_{OI}) = L_b(A_{OI})$ . Moreover, by [12], we can assume that  $D_{OI}$  has at most  $n^{2n+2}$  states. Since  $L_{par,c}(D_{OI}) \subseteq (\Sigma_I \Sigma_O)^\omega$ , it is easy to transform  $D_{OI}$  into a deterministic turn-based parity automaton  $D$  with a parity condition  $c'$  such that  $L_{par,c}(D_{OI}) = m^{-1}(L_{par,c'}(D))$ : it suffices to take the product with the two-states automaton that accepts  $(\Sigma_O \Sigma_I)^\omega$  (let  $i$  and  $o$  its two states). The states of the product are therefore pairs  $(q, p)$  with  $q$  a state of  $D_{OI}$  and  $p \in \{i, o\}$ , and we let  $c'(q, p) = c(q)$ . Note that  $D$  has at most  $2n^{2n+2}$  states. From equality (\*) and

the equalities  $L_{par,c}(D_{OI}) = m^{-1}(L_{par,c'}(D))$  and  $L_{par,c}(D_{OI}) = L_b(A_{OI})$ , we get  $L_{par,c'}(D) = L_b(A)$ . Then we complete the automaton  $D$  by adding two dead states and get a complete deterministic turn-based automaton  $A^d$  (with at most  $2n^{2n+2} + 2$  states). Finally, we take the dual parity condition  $c_d = c' + 1$  which increments the value of each state by 1, so that  $L_{par,c_d}(A^d) = \Sigma^\omega - L_{par,c'}(D) = \Sigma^\omega - L_b(A)$ , from which we get  $L_{uc}(A) = L_{par,c_d}(A^d)$ .

Let  $A^d = (\Sigma_O, \Sigma_I, Q_O^d, Q_I^d, q_0, \delta_I^d, \delta_O^d)$  and  $Q^d = Q_O^d \cup Q_I^d$ . We now view  $A^d$  has a turn-based two-player parity game  $G(A^d) = (Q_O^d, Q_I^d, q_0, \Delta)$ :  $Q_O^d$  are Player O's states ( $q_0$  being the initial state) while  $Q_I^d$  are Player I's states, and we put a transition  $(q, p) \in \Delta$  from a state  $q \in Q^d$  to a state  $p \in Q^d$  if there exists  $\sigma \in \Sigma_I \cup \Sigma_O$  and a transition  $q \xrightarrow{\sigma} p$  in  $A^d$ . Since  $A^d$  has at most  $2n^{2n+2} + 2$  states,  $G(A^d)$  has also at most  $2n^{2n+2}$  states.

The specification  $A^d$  is realizable (or equivalently  $A$  is realizable) iff Player O has a winning strategy in  $G(A^d)$ . Therefore if  $A$  is realizable, Player O has a winning strategy in  $G(A^d)$  given by a mapping  $\gamma$  from  $Q_O^d$  to  $Q_I^d$  such that  $\text{Outcome}_{G(A^d)}(\gamma)$  are words  $\rho$  over  $(Q_O^d Q_I^d)^\omega$  such that  $\min\{c(q) \mid q \in \text{Inf}(\rho)\}$  is even. Moreover, those words correspond to accepting runs of  $A^d$  on words over  $\Sigma$ . Therefore the strategy  $\gamma$  can easily be used to define a Moore machine  $M$  such that  $L(M) \subseteq L_{par,c}(A^d) = L_{uc}(A)$ : first we assume that  $\Sigma$  is totally ordered. The machine  $M$  is defined as follows:  $Q_O^d$  are its states,  $q_0$  is the initial state, the output function  $g$  is defined by  $g(q) = \min\{\sigma_o \mid (q, \sigma_o, \gamma(q)) \in \delta_O^d\}$ , for all  $q \in Q_O^d$ , and finally we put a transition  $q \xrightarrow{\sigma_i} q'$ , for all  $q, q' \in Q_O^d$ , and all  $\sigma_i \in \Sigma_I$  if  $\gamma(q) \xrightarrow{\sigma_i} q' \in \delta_I^d$ . Note that the transition relation of  $M$  is a (total) function since  $A^d$  is complete, and has less than  $(2n^{2n+2} + 2)/2 = n^{2n+2} + 1$  states.  $\square$

### A.3 Proof of Theorem 2

*Proof.* Suppose that  $A$  is realizable. By Theorem 1,  $(A, K)$  is also realizable, as well as  $\det(A, K)$ . Thus there exists non-empty Moore machine  $M$  over inputs  $\Sigma_I$  and outputs  $\Sigma_O$  such that  $L(M) \subseteq L_{uc,0}(\det(A, K))$ . We now construct a winning strategy  $\gamma$  for Player O in  $G(A, K)$ . Intuitively,  $\text{Outcome}_{G(A,K)}(\gamma)$  will correspond to runs of  $\det(A, K)$  on words of  $L(M)$ . Therefore, since  $L(M) \subseteq L_{uc,0}(\det(A, K))$ ,  $\text{Outcome}_{G(A,K)}(\gamma)$  won't visit final states. For the sake of clarity, we view this Moore machine as a (total) mapping  $\lambda : \Sigma_I^* \rightarrow \Sigma_O$ . First assume that  $\Sigma_O$  and  $\Sigma_I$  are totally ordered by some order  $\prec$ . Take  $H = F_0^O F_0^I \dots F_{m-1}^I F_m^O \in (\mathcal{F}_O \mathcal{F}_I)^* \mathcal{F}_O$  a finite play of length  $2m + 1$  in  $G(A, K)$ . The word  $H$  defines a word  $w(H) \in \mathcal{F}_I^*$  as follows:  $w(H) = \sigma_1^I \dots \sigma_m^I$  where for all  $1 \leq i \leq m$ ,  $\sigma_i^I = \min\{\sigma \mid \text{succ}(F_{i-1}^I, \sigma) = F_i^O\}$ . We let  $\gamma(H) = \text{succ}(F_m^O, \lambda(w(H)))$  (it exists since  $\det(A, K)$  is complete, by Prop. 1).

We now prove that  $\gamma$  is winning. Let  $H = F_0^O F_0^I F_1^O F_1^I \dots \in (\mathcal{F}_O \mathcal{F}_I)^\omega \cap \text{Outcome}_{G(A,K)}(\gamma)$ . For all  $i \in \{0, \dots, n\}$ , let  $H_i = F_0^O F_0^I \dots F_i^O F_i^I$ . We associate  $H$  with a word  $u_H = (\sigma_0^O \cup \sigma_0^I)(\sigma_1^O \cup \sigma_1^I) \dots \in \Sigma_P^\omega$  where for all  $i \geq 0$ ,  $\sigma_i^O = \lambda(\sigma_0^I \dots \sigma_{i-1}^I)$  and  $\sigma_i^I = \min\{\sigma \mid \text{succ}(F_i^I, \sigma) = F_{i+1}^O\}$ . Note that since  $\lambda$  is winning,  $u_H \in L_{uc,0}(\det(A, K))$ . Moreover, by definition of  $\gamma$ , for all  $i \geq 0$ ,  $\text{succ}(F_i^O, \sigma_i^O) = F_i^I$ . Therefore  $H$  is the run of  $\det(A, K)$  on  $u_H$  and all states of  $H$  are not final states.

Conversely, suppose that Player O has a winning strategy  $\gamma$  in  $G(A, K)$ . It is known that we can assume that  $\gamma$  is memoryless [8]. So let  $\gamma$  be a mapping from  $\mathcal{F}_O$  to  $\mathcal{F}_I$ .

We construct a winning strategy for the controller, represented as a Moore machine  $M_\gamma = (\Sigma_O, \Sigma_I, \mathcal{F}_O, F_0, \delta_\gamma, g_\gamma)$ . Its state set is  $\mathcal{F}_O$  with initial state  $F_0$ ; for all  $F \in \mathcal{F}_O$ , the output of  $F$  is defined by  $g_\gamma(F) = \sigma$ , for some  $\sigma \in \Sigma_O$  such that  $\delta_O(F, \sigma) = \gamma(F)$  (it exists since  $(F, \gamma(F)) \in T$ ); for all  $F \in \mathcal{F}_O$ , and all  $\sigma_i \in \Sigma_I$ , the transition function is defined by  $\delta_\gamma(F, \sigma_i) = \delta_I(\gamma(F), \sigma_i)$ . Since  $\gamma$  is winning, it is clear by construction that all states of  $M_\gamma$  reachable from the initial state are non-final. Therefore  $L(M_\gamma) \subseteq L_{uc,0}(\det(A, K)) = L_{uc}(A)$ . Moreover, the transition relation of  $M_\gamma$  is a (total) function, since  $\det(A, K)$  is complete. Since there is a winning strategy  $\gamma$  in  $G(A, K)$ , it means that  $G(A, K)$  is non-empty, and so is  $M_\gamma$ , which concludes the proof.  $\square$

#### A.4 Proof of Proposition 3

*Proof.* (i) It holds as  $\max(-1, F(q) - q \in \alpha) \leq \max(-1, F'(q) - q \in \alpha), \forall q \in Q$ .

(ii) it holds as  $\min(K + 1, F(q') + q \in \alpha) \leq \min(K + 1, F'(q') + q \in \alpha), \forall q' \in Q$ .

(iii) Let  $q \in Q$ . We show that for all  $q' \in \delta(q, \sigma)$ ,  $F(q) \leq \text{succ}(F, \sigma)(q') - (q' \in \alpha)$ . This will be sufficient to conclude since it implies that  $F(q) \leq \max(-1, \text{succ}(F, \sigma)(q') - (q' \in \alpha))$ , for all  $q' \in \delta(q, \sigma)$ , and therefore that  $F(q) \leq \Omega(\text{succ}(F, \sigma), \sigma)(q)$ .

So let  $q' \in \delta(q, \sigma)$ , and let  $I(q') = \{q'' \mid (q'', \sigma, q') \in \delta, F(q'') \neq -1\}$ . Since  $(q, \sigma, q') \in \delta$ , we have  $q \in I(q')$ . We know that  $\text{succ}(F, \sigma)(q') = \max\{\min(K + 1, F(q'') + q' \in \alpha) \mid q'' \in I(q')\}$ . Since  $q \in I(q')$ ,  $\text{succ}(F, \sigma)(q') \geq \min(K + 1, F(q) + q' \in \alpha)$ . If  $F(q) + q' \in \alpha \leq K + 1$ , then  $\text{succ}(F, \sigma)(q') - (q' \in \alpha) \geq F(q)$ . The case  $F(q) + (q' \in \alpha)$  is impossible since  $F(q) \leq K$ , as  $F \in \text{safe}$ .

(iv) Let  $q \in Q$ . We first show that for all  $q'$  such that  $(q', \sigma, q) \in \delta$  and  $\Omega(F, \sigma)(q') \neq -1$ ,  $\Omega(F, \sigma)(q') \leq F(q) - (q \in \alpha)$ . This will be sufficient to conclude since it implies that  $\min(K + 1, \Omega(F, \sigma)(q') + (q \in \alpha)) \leq F(q)$ , for all  $q'$  such that  $(q', \sigma, q) \in \delta$ , and therefore that  $\text{succ}(\Omega(F, \sigma), \sigma)(q) \leq F(q)$ .

So let  $q'$  such that  $(q', \sigma, q) \in \delta$  and  $\Omega(F, \sigma)(q') \neq -1$ . Let  $I(q') = \{q'' \mid (q', \sigma, q'') \in \delta\}$ . Since  $(q', \sigma, q) \in \delta$ , we have  $q \in I(q')$ . We know that  $\Omega(F, \sigma)(q') = \min\{\max(-1, F(q'') - (q'' \in \alpha)) \mid q'' \in I(q')\}$ . Since  $q \in I(q')$ , we get  $\Omega(F, \sigma)(q') \leq \max(-1, F(q) - (q \in \alpha))$ . The case  $F(q) - (q \in \alpha) < -1$  is impossible, since otherwise we would have  $\Omega(F, \sigma)(q') = -1$ , which contradicts the hypothesis. Therefore  $\max(-1, F(q) - (q \in \alpha)) = F(q) - (q \in \alpha)$  and  $\Omega(F, \sigma)(q') \leq F(q) - (q \in \alpha)$ .  $\square$

#### A.5 Proof of Proposition 5

*Proof.* We start by a simple remark. Let  $A$  be tbUKCW with input states  $Q_I$  and output states  $Q_O$ . When  $K = 0$ , Player I's locations in  $G(A, K)$  are exactly the subsets of  $Q_I$  and Player O's locations are the subsets of  $Q_O$ , and the partial order  $\preceq$  corresponds to set inclusion.

Now, let us consider for each  $e = \{v, v'\} \in E$  the antichain (for  $\subseteq$ )  $L_{\{v, v'\}} = \{V \setminus \{v\}, V \setminus \{v'\}\}$ ,  $L$  compactly represents all the subsets of  $V$  that are independent of the edge  $\{v, v'\}$ . Clearly  $\text{IND}(G) = \bigcap_{\{v, v'\} \in E} \downarrow L_{\{v, v'\}}$ . As a direct consequence of the NP completeness of the independent set problem, there can not exist a polynomial time algorithm to compute the antichain for this intersection unless  $P = NP$ . Indeed, this antichain contains the maximal independent sets.

Now, we show how to construct a UKCW  $A$  and an antichain of subsets of states  $L$  such that  $\text{Pre}_I(\text{Pre}_O(\text{Pre}_O(L)))$  is exactly  $\text{IND}(G)$ . We can assume that  $V$  is totally ordered by some order, and for all edges  $e = \{v, v'\} \in E$ , we denote by  $\pi_1(e)$  the minimal element of  $e$  and by  $\pi_2(e)$  its maximal element. Now, the set of state of the automaton is structured in four layers:  $S_3 = \{ok, ko\}$  belongs to Player I,  $S_2 = \{(v, e, i) \mid v \in V, e \in E, i \in \{1, 2\}\}$  belongs to Player O,  $S_1 = \{(v, e) \mid v \in V, e \in E\}$  belongs to Player O. Finally,  $S_0 = \{v \mid v \in V\}$  belongs to Player I. Note that we do not make players strictly alternate here to simplify the exposition, it is easy to add a layer between the two actions of Player O to make the automaton turn-based. In those additional states, Player I would have only one action and the operation  $\text{Pre}_I$  would simulate the identity. The objective of Player O is to ensure that the control ends up in state  $ok \in S_3$ , so we take  $L = \{\{ok\}\}$ . Now, we explain how to put transitions between states and compute  $\text{Pre}_I(\text{Pre}_O(\text{Pre}_O(L)))$ . The transitions from  $S_2$  and  $S_3$  are  $\{((v, e, i), (e, i), ok) \mid \pi_i(e) \neq v\} \cup \{((v, e', i), (e, i), ko) \mid \pi_i(e) = v \vee e \neq e'\}$ , it is easy to verify that  $\text{Pre}_O(L)$  is equal to  $\downarrow \cup_{e \in E} \{\{(v, e, 1) \mid v \neq \pi_1(e)\}, \{(v, e, 2) \mid v \neq \pi_2(e)\}\}$ . The transitions from  $S_1$  to  $S_2$  are  $\{((v, e), i, (v, e, i)) \mid i \in \{1, 2\} \wedge v \in V \wedge e \in E\}$ . As states of  $S_1$  belongs to Player O the controllable configurations  $\text{Pre}_O(\text{Pre}_O(L))$  are  $\downarrow \cup_{e \in E} \{\{(v, e) \mid v \neq \pi_1(e)\}, \{(v, e) \mid v \neq \pi_2(e)\}\}$ . The transitions from  $S_0$  to  $S_1$  are  $\{(v, e, (v, e)) \mid v \in V \wedge e \in E\}$ . As states in  $S_0$  belongs to Player I, we have that  $\downarrow \text{Pre}_I(\text{Pre}_O(\text{Pre}_O(L))) = \text{IND}(G)$ , indeed Player I can decide to verify any edge for independence, so only independent set of vertices can be in  $\text{Pre}_I(\text{Pre}_O(\text{Pre}_O(L)))$ .

□